

REGULATION CUSTOMER CONNECTION PROGRAM

1. Program duration

From 1st November 2013 to 29th April 2014.

2. Application

The program is for all customers opened account in KIS Vietnam Securities Corporation before 01st November 2013.

The customers who are KIS's employees and collaborators are not allowed in the program.

3. Content

Customers under the effectiveness of this program are encouraged to introduce new stock trading account to KIS. As gratitude, KIS shall apply special favorable policy for the group accounts including the introducer and the new ones, as detailed in the table below:

No.	Conditions	Policy/ Reward
1. Diamond Group	- Number of members in group: 5 (active account) - Group asset: 2bn and Group trading value: 5bn/ month	- Margin interest rate: 11.5% - Trading fee: 0.15% - Free depository fee
2. Golden Group	- Number of members in group: 3 (active account) - Group asset: 1bn and Group trading value: 2bn/ month	- Margin interest rate: 12.5% - Trading fee: 0.15% - Free depository fee
3. Silver Group	- Number of members in group: 2 (active account) - Group asset: 500mil or Group trading value: 1bn/ month	- Loan interest rate: 13% - Trading fee: 0.2%

Note:

1. KIS makes the report of group classification and inform to satisfied customers in the beginning of next month.
2. The favorable policy is applied since the group satisfies conditions after classification until the program ends.
3. For margin interest rate policy, the reduced rate is applied for new margin loan since the group satisfied requirements until the program ends. The loan that is applied lower rate from the program will continue to receive such favorable rate until it get mature or the customer makes payment for the loan.

4. The earliest customers introducing successfully Diamond or Golden group shall be offered a USB for their companion and trust in KIS.

Besides, a Diamond Group of highest trading value will be invited to the warm party with good broker and Research Department.

4. Contact information

Should you have any further question, please contact us by:

- Call center: (08) 39148585 – Ext: press 2
- Yahoo chat: kisvn_customer
- Skype chat: kis_support
- Email: info@kisvn.vn

Or directly contact your broker.

Best regards.